

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OPB
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SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2015

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	52.23%
Limit orders as percentage of total non-directed orders	46.88%
Other orders as percentage of total non-directed orders	0.89%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. EDGX Exchange, Inc.	31.91%
2. Citadel Securities LLC	28.30%
3. KCG Americas LLC	27.11%
4. UBS Securities, LLC	6.79%
5. National Financial Services LLC	1.44%
6. Citigroup Global Markets, Inc.	1.19%
7. Goldman, Sachs & Co.	0.74%
8. Instinet LLC	0.74%
9. BATS Y-Exchange, Inc.	0.69%
10. Two Sigma Securities, LLC	0.55%

Information Concerning Significant Venues :

1. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	68.08%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.46%
Limit orders as percentage of total limit orders	13.42%
Other orders as percentage of total other orders	38.89%

3. KCG Americas LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	40.13%
	Limit orders as percentage of total limit orders	12.68%
	Other orders as percentage of total other orders	22.22%
4. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	10.06%
	Limit orders as percentage of total limit orders	2.54%
	Other orders as percentage of total other orders	38.89%
5. National Financial Services LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.47%
	Limit orders as percentage of total limit orders	0.32%
	Other orders as percentage of total other orders	0.00%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.80%
	Limit orders as percentage of total limit orders	0.53%
	Other orders as percentage of total other orders	0.00%
7. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.23%
	Limit orders as percentage of total limit orders	0.21%
	Other orders as percentage of total other orders	0.00%
8. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.04%
	Limit orders as percentage of total limit orders	0.42%
	Other orders as percentage of total other orders	0.00%
9. BATS Y-Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.38%
	Limit orders as percentage of total limit orders	1.06%
	Other orders as percentage of total other orders	0.00%
10. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.04%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	36.34%
Limit orders as percentage of total non-directed orders	61.56%
Other orders as percentage of total non-directed orders	2.10%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. EDGX Exchange, Inc.	32.28%
2. Citadel Securities LLC	29.43%
3. KCG Americas LLC	23.12%
4. UBS Securities, LLC	7.81%
5. National Financial Services LLC	2.10%
6. Goldman, Sachs & Co.	1.65%
7. Citigroup Global Markets, Inc.	1.35%
8. BATS Exchange, Inc.	0.90%
9. Instinet LLC	0.75%
10. Other	0.30%

Information Concerning Significant Venues :

1. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	52.44%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.74%
Limit orders as percentage of total limit orders	22.44%
Other orders as percentage of total other orders	21.43%

3. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	37.19%
Limit orders as percentage of total limit orders	15.37%
Other orders as percentage of total other orders	7.14%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	13.22%
Limit orders as percentage of total limit orders	2.44%
Other orders as percentage of total other orders	71.43%

5. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.89%
Limit orders as percentage of total limit orders	1.71%
Other orders as percentage of total other orders	0.00%

6. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.24%
Limit orders as percentage of total limit orders	1.95%
Other orders as percentage of total other orders	0.00%

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.07%
Limit orders as percentage of total limit orders	0.98%
Other orders as percentage of total other orders	0.00%

8. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.46%
Other orders as percentage of total other orders	0.00%

9. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.83%
Limit orders as percentage of total limit orders	0.73%
Other orders as percentage of total other orders	0.00%

10. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.83%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	55.12%
Limit orders as percentage of total non-directed orders	44.09%
Other orders as percentage of total non-directed orders	0.79%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	30.71%
2. EDGX Exchange, Inc.	25.98%
3. Citadel Securities LLC	16.54%
4. New York Stock Exchange	13.39%
5. UBS Securities, LLC	4.72%
6. Citigroup Global Markets, Inc.	2.36%
7. Goldman, Sachs & Co.	1.57%
8. Instinet LLC	1.57%
9. Wedbush Securities Inc.	1.57%
10. BATS Exchange, Inc.	0.79%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	50.00%
Limit orders as percentage of total limit orders	5.36%
Other orders as percentage of total other orders	100.00%

2. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	58.93%
Other orders as percentage of total other orders	0.00%

3. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	27.14%
Limit orders as percentage of total limit orders	3.57%
Other orders as percentage of total other orders	0.00%

4. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.43%
Limit orders as percentage of total limit orders	28.57%
Other orders as percentage of total other orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.57%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.29%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.86%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.43%
Limit orders as percentage of total limit orders	1.79%
Other orders as percentage of total other orders	0.00%

9. Wedbush Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.86%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.79%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	16.56%
Limit orders as percentage of total non-directed orders	83.44%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Nasdaq Options Market	33.44%
2. NYSE Arca Options	26.99%
3. The Chicago Board Options Exchange	15.64%
4. NASDAQ PHLX	7.36%
5. The MIAX Options Exchange	6.75%
6. The Boston Option Exchange	4.29%
7. NYSE Amex Options	2.45%
8. BATS Options Exchange, Inc.	2.15%
9. ISE Gemini Options Exchange	0.61%
10. The International Securities Exchange	0.31%

Information Concerning Significant Venues :

1. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	40.07%
Other orders as percentage of total other orders	0.00%

2. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.85%
Limit orders as percentage of total limit orders	31.99%
Other orders as percentage of total other orders	0.00%

3. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.63%
Limit orders as percentage of total limit orders	12.87%
Other orders as percentage of total other orders	0.00%

4. NASDAQ PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.52%
Limit orders as percentage of total limit orders	5.15%
Other orders as percentage of total other orders	0.00%

5. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.37%
Limit orders as percentage of total limit orders	4.04%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.52%
Limit orders as percentage of total limit orders	1.47%
Other orders as percentage of total other orders	0.00%

7. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.26%
Limit orders as percentage of total limit orders	1.10%
Other orders as percentage of total other orders	0.00%

8. BATS Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.57%
Other orders as percentage of total other orders	0.00%

9. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.74%
Other orders as percentage of total other orders	0.00%

10. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.85%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%