

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OPB
KEELEY INVESTMENT CORP
111 W JACKSON BLVD, SUITE 810
CHICAGO, IL 60604

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2015

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	82.05%
Limit orders as percentage of total non-directed orders	17.48%
Other orders as percentage of total non-directed orders	0.46%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. KCG Americas LLC	41.35%
2. Citadel Securities LLC	31.36%
3. EDGX Exchange, Inc.	12.21%
4. UBS Securities, LLC	8.97%
5. National Financial Services LLC	2.04%
6. Citigroup Global Markets, Inc.	1.48%
7. Instinet LLC	0.83%
8. Two Sigma Securities, LLC	0.74%
9. G1 Execution Services, LLC	0.28%
10. Keefe, Bruyette & Woods, Inc.	0.28%

Information Concerning Significant Venues :

1. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	45.77%
Limit orders as percentage of total limit orders	21.69%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.64%
Limit orders as percentage of total limit orders	6.35%
Other orders as percentage of total other orders	40.00%

3. EDGX Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	69.84%
	Other orders as percentage of total other orders	0.00%
4. UBS Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	10.15%
	Limit orders as percentage of total limit orders	2.12%
	Other orders as percentage of total other orders	60.00%
5. National Financial Services LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.48%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
6. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.80%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
7. Instinet LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	1.01%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
8. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.90%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. G1 Execution Services, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.34%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
10. Keefe, Bruyette & Woods, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.34%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	74.79%
Limit orders as percentage of total non-directed orders	24.01%
Other orders as percentage of total non-directed orders	1.20%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	34.65%
2. KCG Americas LLC	28.82%
3. EDGX Exchange, Inc.	18.35%
4. UBS Securities, LLC	12.52%
5. Citigroup Global Markets, Inc.	2.57%
6. National Financial Services LLC	1.37%
7. Instinet LLC	0.51%
8. Stephens, Inc	0.51%
9. BATS Y-Exchange, Inc.	0.34%
10. Keefe, Bruyette & Woods, Inc.	0.17%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.12%
Limit orders as percentage of total limit orders	9.29%
Other orders as percentage of total other orders	14.29%

2. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.40%
Limit orders as percentage of total limit orders	10.71%
Other orders as percentage of total other orders	42.86%

3. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	76.43%
Other orders as percentage of total other orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.91%
Limit orders as percentage of total limit orders	3.57%
Other orders as percentage of total other orders	42.86%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.44%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.83%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.69%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Stephens, Inc

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.69%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.46%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Keefe, Bruyette & Woods, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.23%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE MKT or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	89.06%
Limit orders as percentage of total non-directed orders	7.81%
Other orders as percentage of total non-directed orders	3.13%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	37.50%
2. KCG Americas LLC	31.25%
3. UBS Securities, LLC	9.38%
4. Citigroup Global Markets, Inc.	4.69%
5. New York Stock Exchange	4.69%
6. Two Sigma Securities, LLC	4.69%
7. National Financial Services LLC	3.13%
8. Wedbush Securities Inc.	3.13%
9. BATS Y-Exchange, Inc.	1.56%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.84%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	100.00%

2. KCG Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	33.33%
Limit orders as percentage of total limit orders	20.00%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	60.00%
Other orders as percentage of total other orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.51%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Wedbush Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.51%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Y-Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.75%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	20.69%
Limit orders as percentage of total non-directed orders	79.31%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Nasdaq Options Market	31.03%
2. The Chicago Board Options Exchange	29.31%
3. The MIAX Options Exchange	17.24%
4. NASDAQ OMX PHLX	8.62%
5. NYSE Arca Options	6.90%
6. BATS Options Exchange, Inc.	3.45%
7. The Boston Option Exchange	3.45%

Information Concerning Significant Venues :

1. Nasdaq Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	39.13%
Other orders as percentage of total other orders	0.00%

2. The Chicago Board Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.67%
Limit orders as percentage of total limit orders	26.09%
Other orders as percentage of total other orders	0.00%

3. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.67%
Limit orders as percentage of total limit orders	10.87%
Other orders as percentage of total other orders	0.00%

4. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.67%
Limit orders as percentage of total limit orders	6.52%
Other orders as percentage of total other orders	0.00%

5. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.70%
Other orders as percentage of total other orders	0.00%

6. BATS Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%

7. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	4.35%
Other orders as percentage of total other orders	0.00%